1. Name of Listed Entity:Soma Textiles & Industries Limited
2. Scrip Code/Name of Scrip/Class of Security: SOMATEX
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2019
5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

|  |  |  |  |
| --- | --- | --- | --- |
| **S. No.**  | **Particulars** | **Yes/No** |  |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |  |
| 2 | Whether the Listed Entity has issued any Convertible Securities? | No |  |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |  |
| 4 | Whether the Listed Entity has any shares in locked-in? | No |  |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | Yes | Promoter and Promoter Group  |
| 6 | Whether the Listed Entity has issued any differential Voting Rights? | No |  |
| 7 | Whether the Listed Entity has issued any Warrants ? | No |  |
| 8 | Whether the listed entity has any significant beneficial owner? | No |  |

Table I - Summary Statement holding of specified securities

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Catego ry (I)*** | ***Category of shareholder******(II)*** | ***Nos. of shareh olders*** | ***No. of fully paid up equity*** | ***No. of Partly paid-up*** | ***No. of shares underlying*** | ***Total nos. shares*** | ***Shareholding as a % of total no. of shares*** | ***Number of Voting Rights held in each class of securities*** | ***No. of Shares Underlying*** | ***Shareholding , as a % assuming full conversion*** | ***Number of Locked in shares*** | ***Number of Shares pledged or*** | ***Number of equity shares held*** |
| ***(III)*** | ***shares*** | ***equity*** | ***Depository*** | ***held*** | ***(calculated as*** | ***(IX)*** | ***Outstanding*** | ***of convertible*** |  | ***otherwise*** | ***in*** |
| ***held*** | ***shares*** | ***Receipts*** | **(VII*) =*** | ***per SCRR,*** | ***convertible*** | ***securities ( as a*** | **(XII)** | ***encumbered*** | ***dematerializ*** |
| ***(IV)*** | ***held*** | ***(VI)*** | ***(IV)+(V)+*** | ***1957)*** | ***securities*** | ***percentage of*** | ***(XIII)*** | ***ed form*** |
| ***(V)*** | ***(VI)*** | ***(VIII)*** | ***(including*** | ***diluted share*** | **(XIV)** |
| ***No of Voting Rights*** | ***Total as a******% of (A+B+ C)*** | ***No. (a)*** | ***As a******% of total Shar es held*** (b) | ***No. (a)*** | ***As a******% of total Share s held (b)*** |
| ***As a % of*** | ***Warrants)*** | ***capital)*** |
| ***(A+B+C2)*** | ***(X)*** |  |
| ***Clas s eg: X*** | ***Clas s eg:y*** | ***Tot al*** | ***(XI)= (VII)+(X)*** |
|
| ***As a % of*** |
| ***(A+B+C2)*** |
| A | Promoter & Promoter Group | 6 | 21035017 | 0 | 0 | 21035017 | 63.68 | 21035017 | 0 | 21035017 | 63.68 | 0 | 63.68 | 0 | 0 | 2500000 | 11.88 | 21035017 |
| B | Public | 10895 | 11997983 | 0 | 0 | 11997983 | 36.32 | 11997983 | 0 | 11997983 | 36.32 | 0 | 36.32 | 0 | 0 |  |  | 11489973 |
| C | Non Promoter- Non Public | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| C1 | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
| C2 | Shares held by Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
|  | Total | 10901 | 33033000 | 0 | 0 | 33033000 | 100 | 33033000 | 0 | 33033000 | 100 | 0 | 100 | 0 | 0 | 2500000 | 7.57 | 32524990 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | ***Category & Name*** | ***PAN*** | ***No. of*** | ***No. of*** | ***Partly*** | ***Nos. of*** | ***Total*** | ***Sharehol*** | ***Number of Voting Rights held in*** | ***No. of*** | ***Shareholdin*** | ***Number of*** | ***Number of*** | ***Number of*** |
| ***of the*** | ***(II)*** | ***shareh*** | ***fully*** | ***paid-up*** | ***shares*** | ***nos.*** | ***ding %*** | ***each class of securities*** | ***Shares*** | ***g , as a %*** | ***Locked in*** | ***Shares*** | ***equity*** |
| ***Shareholders*** | ***older*** | ***paid*** | ***equity*** | ***underlying*** | ***shares*** | ***calculate*** | ***(IX)*** | ***Underlying*** | ***assuming*** | ***shares*** | ***pledged or*** | ***shares held*** |
| ***(I)*** | ***(III)*** | ***up*** | ***shares*** | ***Depository*** | ***held*** | ***d as per*** | ***Outstanding*** | ***full*** | ***(XII)*** | ***otherwise*** | ***in*** |
| ***equity*** | ***held*** | ***Receipts*** | **(VII =** | ***SCRR,*** | ***convertible*** | ***conversion*** | ***encumbered*** | ***dematerializ*** |
| ***share*** | ***(V)*** | ***(VI)*** | **IV+V+VI)** | ***1957*** | ***securities*** | ***of*** | ***(XIII)*** | ***ed form*** |
| ***s held*** |  | ***No of Voting Rights*** | ***Total as a % of Total Voting rights*** | ***(including*** | ***convertible*** | ***No. (a)*** | ***As a******% of total Shar es held (b)*** | ***No. (a)*** | ***As a******% of total share s held (b)*** | ***(XIV)*** |
| ***(IV)*** | ***As a % of*** | ***Warrants)*** | ***securities (*** |
| ***(A+B+C2)*** | ***(X)*** | ***as a*** |
| ***(VIII)*** | ***percentage*** |
| ***Class X*** | ***Class Y*** | ***Tot al*** |
| ***of diluted*** |
| ***share*** |
| ***capital)*** |
| ***(XI) =*** |
| ***(VII)+(X) as a*** |
| ***% of*** |
| ***A+B+C2*** |
| 1 | Indian |  |  |  |  |  | 0 | 0 |  |  | 0 | 0 |  | 0 |  | 0 |  | 0 |  |
| a | Individuals/Hindu undivided Family |  | 4 | 6823122 | 0 | 0 | 6823122 | 20.66 | 6823122 | 0 | 6823122 | 20.66 | 0 | 20.66 | 0 | 0 | 2500000 | 36.64 | 6823122 |
|  | Surendra Kumar Somany | AAGPS6467H | 1 | 2965695 | 0 | 0 | 2965695 | 8.98 | 2965695 | 0 | 2965695 | 8.98 | 0 | 8.98 | 0 | 0 | 2500000 | 84.3 | 2965695 |
|  | Surendra Kumar Somany (HUF) | AACHS9581C | 1 | 1402579 | 0 | 0 | 1402579 | 4.25 | 1402579 | 0 | 1402579 | 4.25 | 0 | 4.25 | 0 | 0 | 0 | 0 | 1402579 |
|  | Arvind Kumar Somany | ACBPS8983M | 1 | 2328217 | 0 | 0 | 2328217 | 7.05 | 2328217 | 0 | 2328217 | 7.05 | 0 | 7.05 | 0 | 0 | 0 | 0 | 2328217 |
|  | Arvind Kumar Somany (HUF) | AAFHS4917R | 1 | 126631 | 0 | 0 | 126631 | 0.38 | 126631 | 0 | 126631 | 0.38 | 0 | 0.38 | 0 | 0 | 0 | 0 | 126631 |
| b | Central Government/ State Government(s) |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c | Financial Institutions/ Banks |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d | Any Other (specify) |  | 2 | 14211895 | 0 | 0 | 14211895 | 43.02 | 14211895 | 0 | 14211895 | 43.02 | 0 | 43.02 | 0 | 0 | 0 | 0 | 14211895 |
|  | Bodies Corporate |  | 2 | 14211895 | 0 | 0 | 14211895 | 43.02 | 14211895 | 0 | 14211895 | 43.02 | 0 | 43.02 | 0 | 0 | 0 | 0 | 14211895 |
|  | KGPL Industries and Finvest Private Limited | AAACK2082K | 1 | 10204651 | 0 | 0 | 10204651 | 30.89 | 10204651 | 0 | 10204651 | 30.89 | 0 | 30.89 | 0 | 0 | 0 | 0 | 10204651 |
|  | Sarvopari Investments Private Limited | AADCS6563J | 1 | 4007244 | 0 | 0 | 4007244 | 12.13 | 4007244 | 0 | 4007244 | 12.13 | 0 | 12.13 | 0 | 0 | 0 | 0 | 4007244 |
|  | Sub-Total (A)(1) |  | 6 | 21035017 | 0 | 0 | 21035017 | 63.68 | 21035017 | 0 | 21035017 | 63.68 | 0 | 63.68 | 0 | 0 | 2500000 | 11.88 | 21035017 |
| 2 | Foreign |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| a | Individuals (Non-Resident Individuals/ Foreign Individuals) |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b | Government |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c | Institutions |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d | Foreign Portfolio Investor |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e | Any Other (specify) |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|  | Sub-Total (A)(2) |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|  | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) |  | 6 | 21035017 | 0 | 0 | 21035017 | 63.68 | 21035017 | 0 | 21035017 | 63.68 | 0 | 63.68 | 0 | 0 | 2500000 | 11.88 | 21035017 |

Table III - Statement showing shareholding pattern of the Public shareholder

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | ***Category & Name of the Shareholders******(I)*** | ***PAN (II)*** | ***Nos. of shareh older (III)*** | ***No. of fully paid up equity share s held (IV)*** | ***Partly paid-up equity shares held (V)*** | ***Nos. of shares underlying Depository Receipts (VI)*** | ***Total nos. shares held*  VII =****IV+V+VI** | ***Sharehol ding % calculate d as per SCRR, 1957******As a % of (A+B+C2) VIII*** | ***Number of Voting Rights held in each class of securities******(IX)*** | ***No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)*** | ***Total shareholdin g , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)******(XI)*** | ***Number of Locked in shares (XII)*** | ***Number of Shares pledged or otherwise encumbered (XIII)*** | ***Number of equity shares held in dematerializ ed form (XIV)*** |
| ***No of Voting Rights*** | ***Total as a % of Total Voting rights*** | ***No. (a)*** | ***As a******% of total Shar es held (b)*** | ***No.******(No t ap pli ca ble******)******(a)*** | ***As a******% of total share s held (Not applic able) (b)*** |
| ***Class X*** | ***Class Y*** | ***Tot al*** |
| 1 | Institutions |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| a | Mutual Funds/ |  | 2 | 2344 | 0 | 0 | 2344 | 0.01 | 2344 | 0 | 2344 | 0.01 | 0 | 0.01 | 0 | 0 |  |  | 0 |
| b | Venture Capital Funds |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
| c | Alternate Investment Funds |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
| d | Foreign Venture Capital Investors |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
| e | Foreign Portfolio Investors |  | 1 | 400 | 0 | 0 | 400 | 0 | 400 | 0 | 400 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
| f | Financial Institutions/ Banks |  | 2 | 10200 | 0 | 0 | 10200 | 0.03 | 10200 | 0 | 10200 | 0.03 | 0 | 0.03 | 0 | 0 |  |  | 10200 |
| g | Insurance Companies |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
| h | Provident Funds/ Pension Funds |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
| i | Any Other (specify) |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
|  | Sub-Total (B)(1) |  | 5 | 12944 | 0 | 0 | 12944 | 0.04 | 12944 | 0 | 12944 | 0.04 | 0 | 0.04 | 0 | 0 |  |  | 10200 |
| 2 | Central Government/ State Government(s)/ President of India |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
|  | Sub-Total (B)(2) |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
| 3 | Non-institutions |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| a | Individuals - |  | 10441 | 10313487 | 0 | 0 | 10313487 | 31.23 | 10313487 | 0 | 10313487 | 31.23 | 0 | 31.23 | 0 | 0 | 0 | 0 | 9808221 |
| i | Individual shareholders holding nominal share capital up to Rs. 2 lakhs. |  | 10397 | 7130466 | 0 | 0 | 7130466 | 21.59 | 7130466 | 0 | 7130466 | 21.59 | 0 | 21.59 | 0 | 0 |  |  | 6625200 |
| ii | Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. |  | 44 | 3183021 | 0 | 0 | 3183021 | 9.64 | 3183021 | 0 | 3183021 | 9.64 | 0 | 9.64 | 0 | 0 |  |  | 3183021 |
|  | Rajesh Jhaveri | AAPPJ6927N | 1 | 666079 | 0 | 0 | 666079 | 2.02 | 666079 | 0 | 666079 | 2.02 | 0 | 2.02 | 0 | 0 |  |  | 666079 |
| b | NBFCs registered with RBI |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
| c | Employee Trusts |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
| d | Overseas Depositories (holding DRs) (balancing figure) |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
| e | Any Other (specify) |  | 449 | 1671552 | 0 | 0 | 1671552 | 5.06 | 1671552 | 0 | 1671552 | 5.06 | 0 | 5.06 | 0 | 0 |  |  | 1671552 |
|  | Trusts |  | 1 | 2000 | 0 | 0 | 2000 | 0.01 | 2000 | 0 | 2000 | 0.01 | 0 | 0.01 | 0 | 0 |  |  | 2000 |
|  | HUF |  | 251 | 626251 | 0 | 0 | 626251 | 1.9 | 626251 | 0 | 626251 | 1.9 | 0 | 1.9 | 0 | 0 |  |  | 626251 |
|  | Non-Resident Indian (NRI) |  | 55 | 101433 | 0 | 0 | 101433 | 0.31 | 101433 | 0 | 101433 | 0.31 | 0 | 0.31 | 0 | 0 |  |  | 101433 |
|  | Clearing member |  | 19 | 136529 | 0 | 0 | 136529 | 0.41 | 136529 | 0 | 136529 | 0.41 | 0 | 0.41 | 0 | 0 |  |  | 136529 |
|  | Bodies Corporate |  | 123 | 805339 | 0 | 0 | 805339 | 2.44 | 805339 | 0 | 805339 | 2.44 | 0 | 2.44 | 0 | 0 |  |  | 805339 |
|  | Sub-Total (B)(3) |  | 10890 | 11985039 | 0 | 0 | 11985039 | 36.29 | 11985039 | 0 | 11985039 | 36.29 | 0 | 36.29 | 0 | 0 |  |  | 11479773 |
|  | Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3) |  | 10895 | 11997983 | 0 | 0 | 11997983 | 36.33 | 11997983 | 0 | 11997983 | 36.33 | 0 | 36.33 | 0 | 0 |  |  | 11489973 |

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | ***Category & Name*** | ***PAN*** | ***No. of*** | ***No. of*** | ***Partly*** | ***Nos. of*** | ***Total no.*** | ***Sharehol*** | ***Number of Voting Rights held in*** | ***No. of*** | ***Total*** | ***Number of*** | ***Number of*** | ***Number of*** |
| ***of the*** | ***(II)*** | ***shareh*** | ***fully*** | ***paid-up*** | ***shares*** | ***shares*** | ***ding %*** | ***each class of securities*** | ***Shares*** | ***shareholdin*** | ***Locked in*** | ***Shares*** | ***equity*** |
| ***Shareholders*** | ***older*** | ***paid*** | ***equity*** | ***underlying*** | ***held*** | ***calculate*** | ***(IX)*** | ***Underlying*** | ***g , as a %*** | ***shares*** | ***pledged or*** | ***shares held*** |
| ***(I)*** | ***(III)*** | ***up*** | ***shares*** | ***Depository*** | **(VII =** | ***d as per*** | ***Outstanding*** | ***assuming*** | ***(XII)*** | ***otherwise*** | ***in*** |
| ***equity*** | ***held*** | ***Receipts*** | **IV+V+VI)** | ***SCRR,*** | ***convertible*** | ***full*** | ***encumbered*** | ***dematerializ*** |
| ***share*** | ***(V)*** | ***(VI)*** | ***1957*** | ***securities*** | ***conversion*** | ***(XIII)*** | ***ed form*** |
| ***s held*** | ***As a % of*** | ***No of Voting Rights*** | ***Total as a % of Total Voting rights*** | ***(including*** | ***of*** | ***No.*** | ***As a******% of total Shar es held*** | ***No.******(No t ap pli ca ble******)*** | ***As a******% of total share s held (Not applic able)*** | ***(XIV)*** |
| ***(IV)*** | ***(A+B+C2)*** | ***Warrants)*** | ***convertible*** | ***(Not*** |
| ***(VIII)*** | ***(X)*** | ***securities (*** | ***Applicable)*** |
| ***as a*** |
| ***Class X*** | ***Class Y*** | ***Tot al*** |
| ***percentage*** |
| ***of diluted*** |
| ***share*** |
| ***capital)*** |
| ***(XI)*** |
| 1 | Custodian/DR Holder |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 | 0 |  |  | 0 |
| 2 | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  | 0 |
|  | Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2) |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | ***Name*** | ***PAN*** | ***Passport No. in case of a foreign national*** | ***Nationality*** | ***Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\**** | ***Date of creation / acquisition of significant beneficial interest*** |
| ***Shares*** | ***Voting rights*** | ***Rights on distributable dividend or any other distribution*** | ***Exercise of control*** | ***Exercise of significant influence*** |

Table II- Unclaim Details

|  |
| --- |
| **Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.** |
| **No. of shareholders** | **No of share held** |

Table III- Unclaim Details

|  |
| --- |
| **Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.** |
| **No. of shareholders** | **No of share held** |

Table III- Person in Concert

|  |
| --- |
| **Details of the shareholders acting as persons in Concert including their Shareholding** |
| **Name of shareholder** | **Name of PAC** | **No. of share** | **Holding %** |